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### (54) Occlusion device

Verschlussvorrichtung

Dispositif d'occlusion

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(56) References cited:  
WO-A-98/02100 WO-A-98/27868  
WO-A-99/07292 FR-A- 2 641 692  
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**Description**

[0001] The present invention relates to a closure device suitable for transcatheter operations, i.e., operations for closing and repairing intracardiac or vascular defects.

[0002] In general, shunt affections due to congenital cardiac anomalies have been treated by surgical operations. Such surgical treatments consequently require not only the treatment of the affected area but also thoracotomy that imposes a burden on the patient. In particular, the surgical treatment is a great burden to a child patient. For this reason, there has recently been developed a noninvasive procedure in which the intracardiac defect is repaired with a cardiac catheter adapted to be inserted transvascularly into a cardiac cavity.

[0003] For example, patent ductus arteriosus (PDA) that is one of shunt affections, has been treated so far by transvascularly inserting a closure device into a blood vessel with a catheter and then leaving it in the blood vessel to obstruct the blood flow passing therethrough. In this method, the patent ductus arteriosus is closed by introducing a spongy polyvinyl alcohol closure device, which is previously formed into a shape corresponding to the size and morphology of the arterial duct, into the diseased site to be treated through the femoral artery. Porstman reported the first clinical success of this method in 1967. On the other hand, Rashkind proposed a method of closing a patent ductus arteriosus with a closure device composed of united double umbrella-like members and adapted to be introduced into the diseased site through a femoral vein by a catheter.

[0004] However, the Porstman's method is complex in operation and includes a high risk of injuring vessels since it is required to insert a closure device with a larger size than the arterial duct through the femoral artery, thus making it difficult to apply it to infant patients. On the other hand, the Rashkind's method involves a problem such that the residual shunts appear frequently.

[0005] As a solution of the above problem, Japanese Patent unexamined publication No. 07-308331 discloses a tool for obstructing intracorporeal tubular cavity that consists of a shape-memory alloy provided with a ring at both ends and is recoverable into a conical coil shape toward the central part of the coil at a predetermined temperature around the body temperature. This tool is adapted to obstruct a shunt site by introducing it into the shunt site and allowing a thrombus to adhere to the coil portion, and thus it has a problem such that a leakage takes place frequently.

[0006] On the other hand, as a clinical closure device, straight coils have been put to practical use currently. However, the straight coil has the problem that the leakage occurs frequently as is the case with the shape-memory alloy coil. In addition, it has a trouble in fixing it on a morbid part and tends to cause total dislocation or dislodgement. WO9827868, provides a self-expanding closure device which has membrane that is support-

ed by an embedded wire structure having elastic properties which is capable of being compressed and inserted in the defect by a catheter and thereafter returning to its memory induced configuration. The device has a sheet attached along at least part of the length of an elastic support, such a sheet forms a barrier within the outer periphery. The support is straight for insertion and bent to form an outer periphery of the deployed device.

[0007] The present invention has been developed in view of the above circumstances and aims at providing a closure device for transcatheter operations, which is applicable to defects with any form at a low risk of injury of blood vessels and free from leakage and residual shunts.

[0008] The present inventors dedicated efforts to solution of the above problems and achieved the present invention on the basis of an idea of combining an easily-foldable flat closure member with a shape-restoring force and a longitudinally elasticated fixing member with a shape-restoring force.

[0009] According to the present invention, there is provided a closure device for transcatheter operations, comprising:

25 a longitudinally elasticated fixing member having a shape-restoring force, the fixing member having a relatively large-sized circular portion at both ends thereof, at least one of the circular portions being fixed in a ring and connected to the opposite circular portion by means of a connecting portion, said connecting portion being extended from the fixed circular portion and progressively decreased in size toward the opposite circular portion; and a closure membrane attached to said fixed circular portion for closing the ring.

[0010] In this case, the opposite or second circular portion may be fixed in a ring as well as the first circular portion. When the second circular portion is not fixed in a ring and thus has a free end, the second circular portion is provided at the free end thereof with a holding portion. The holding portion is generally formed into a small-sized ring. Further, the second circular portion is generally formed so as to have the same diameter as the first circular portion. If the second circular portion is fixed in a ring, the ring may be closed up with a closure membrane as well as the first circular portion.

[0011] As a closure membrane for closing the ring, it is preferred to use a fabric or non-woven fabric of a biocompatible material. The fixing member is preferably made of a wire of a superelastic metal or a shape-memory alloy with a transformation temperature ranging from 30 to 36 °C and formed into a coil or zigzag.

[0012] Further scope of applicability of the present invention will become apparent from the detailed description given hereinafter. However, it should be understood that the detailed description and specific example, while indicating preferred embodiments of the invention, are

given by way of illustration only; since various changes and modifications will become apparent to those skilled in the art from the detailed description.

[0013] The present invention will become more fully understood from the detailed description given hereinbelow and the accompanying drawings which are given by way of illustration only, and thus are not limitative of the present invention, and wherein:

Fig. 1 is a perspective view illustrating one embodiment of a closure device for transcatheter operations according to the present invention;

Fig. 2 is a perspective view illustrating another embodiment of a closure device for transcatheter operations according to the present invention.

Fig. 3 is a perspective view illustrating still another embodiment of a closure device for transcatheter operations according to the present invention.

Fig. 4 is a vertical section view of a catheter assembly used for a transcatheter closure treatment in combination with the closure device of the present invention.

Figs. 5-8 are illustrations of procedures in transcatheter closure treatment employing the closure device of Fig. 1 and the catheter assembly of Fig. 4.

[0014] Referring now to Figs. 1 and 3, there are shown closure devices for transcatheter operations according to the present invention. The closure device comprises a fixing member 1 having a relatively large-sized, first and second circular portion 11, 12 at both ends thereof, and a closure membrane 2 attached thereto for closing a ring of the first circular portion 11 of the fixing member 1. The first circular portion 11 is fixed in a ring, connected to the second circular portion 12 by a longitudinally elasticated connecting portion 14. The connecting portion 14 is extended from the first circular portion, progressively decreased in size toward the second circular portion 12 and joined thereto.

[0015] The fixing member 1 is a longitudinally elasticated member having a shape-restoring force and has first and second circular portions 11 and 12 of a relatively large-sized diameter at both ends thereof. At least one of the circular portions (first circular portion 11 in the drawings) is fixed in a relatively large-sized ring by welding or any other suitable joint means. The ring of the first circular portion 11 is closed up or covered with the closure membrane 2. The longitudinally elasticated connecting portion 14 extending from the fixed circular portion 12 is spiraled, progressively decreased in diameter toward the opposite or second circular portion 12 and connected to the second circular portion 12.

[0016] The second circular portion 12 may be provided at its free end with a holding portion 13 to make it possible to grasp the fixing member 1 with a catheter assembly as illustrated in Fig. 4.

[0017] The second circular portion 12 may be unfixed in a ring as shown in Fig. 1 or fixed circle in a ring as

shown in Fig. 2. However, if the second circular portion 12 is not fixed in a ring, it is necessary to provide the aforesaid holding portion 13 on the free end of the second circular portion 12. On the other hand, if the second circular portion 12 is fixed in a ring, the ring of the second circular portion 12 may be closed up or covered with a closure membrane 2 as illustrated in Fig. 3.

[0018] The fixing member 1 may be formed into a spiral coil as illustrated in Fig. 1 or a zigzag as illustrated in Fig. 2 depending on the shape-restoring property or flexibility of a material used therefor. The holding portion 13 is generally formed into a small-sized ring by welding as illustrated in Fig. 1, but it may take any other configuration such as, for example, a screw-shape or a V-shape (not illustrated in the figures).

[0019] Preferably, the fixing member 1 is made of a superelastic metal wire or shape-memory-alloy wire and formed into a coil or zigzag. As a material for the fixing member, there may be used any one of superelastic metals, shape-memory-alloys having a transformation temperature ranging from 30 to 36 °C. The aforesaid superelastic metal includes Ni-Ti alloys, Cu-Zn-Al alloys, Cu-Al-Ni alloys and the like. The material used for the fixing member further includes elastic metals such as stainless steels, brass and the like; and flexible resins such as polyethylene, polypropylenes, polyesters and the like.

[0020] The closure membrane 2 for closing the ring is preferably made of a woven-fabric or non-woven fabric made of a biocompatible material. The biocompatible material includes polyesters, polyethylene, polypropylene, polyamides, polyethylene fluoride, polyvinylidene fluoride, polyvinyl chloride, polyvinylidene chloride, polyurethane, cellulosic semisynthetic resins, natural fibers and the like. These materials are used in the form of a woven fabric, a non-woven fabric, a film, a porous sheet or a composite material of these materials.

[0021] The use of the closure device for transcatheter operations according to the present invention will be explained below, making reference to Figs. 5 to 8.

[0022] At the time of transcatheter operation, the closure device C for transcatheter operations as illustrated in Fig. 1 is used in combination with a catheter assembly A, for example, as illustrated in Fig. 4.

[0023] The catheter assembly A shown in Fig. 4 comprises a sheath 3 and an elongated operating member 4 for introducing the closure device C of the present invention into the operative site through the sheath 3 and for performing the operation of closure of the defect aperture D. The sheath 3 is capable of accommodating the folded closure device C and also holding the operating member 4 to be easily put in and out in its lumen 31.

[0024] The catheter assembly A comprises a sheath 3, and an elongated operating member 4 for introducing the closure device C into the operative site through the sheath 3 and for performing the operation of closure of the defect aperture D.

[0025] The sheath 3 is a tubular member having a lu-

men 31 into which the operating linear member 4 is movably inserted to hold the inflected closure device C in the lumen 31 of the sheath 3. The sheath 3 is provided at the proximal end thereof with a connector 5 having a through-hole 51 and a large-sized threaded bore 52.

[0026] The connector 5 is provided with hemostatic means or hemostatic valve for preventing leakage of the blood during operation. The hemostatic means is composed of a packing 53 having a through-hole in a central part thereof and is pressed against the bottom of the threaded bore 52 by a screw bolt 54. The screw bolt 54 is provided at a central portion thereof with a through-hole serving as an inlet for the operating member 4. The connector 5 is further provided with a lateral tube 55 through which a heparinized physiological saline is infused into the sheath 3 to prevent the blood coagulation during operation.

[0027] The operating member 4 is composed of an elongated flexible member 41 and provided at a distal end thereof with a holding means 42 for releasably holding the closure device C. Preferably, the holding means 42 is a flexible linear member extending in the axial direction of the operating member 4. The proximal end of the holding means 42 is preferably wound round one time to form a circular portion 42a as shown in Fig. 4 that makes it easy to inflect the holding means 42. Numeral 43 is a handle for manipulating the operating member 4.

[0028] The holding means 42 can be inflected to hold the closure device C, pulled into the sheath 3 together with closure device C, and then returned to its original state extending in the axial direction of the operating member 4 to release the closure device when the closure device C is pushed out of the sheath 3.

[0029] In use, the holding means 42 of the operating member 4 of the catheter assembly A is first pushed out of the distal end of the sheath 3 as shown in Fig. 4, and then inserted into the small-sized holding portion 13 that has been provided at the free end of the second circular portion 12 of the fixing member 1 of the closure device C. Then, the holding means 42 is inflected by turning the distal end thereof toward the proximal end thereof so that the closure device C is held by the holding portion 13. Under such a condition, by pulling back the operating member 4, the closure device C is deformed into an elongated shape, pulled from the second circular portion 12 thereof into the sheath 3, and held in the sheath 3 as shown in Fig. 5.

[0030] Then, thus prepared catheter assembly A is inserted into an elongated sheath (not illustrated in the figures) that had been previously introduced into the body of a patient through the femoral vein of the right leg to a neighborhood of a patent ductus arteriosus D of the pulmonary artery. After introducing the distal end of the catheter assembly A into an arterial canal through the pulmonary artery, the operating member 4 is pushed into the sheath 3 till the first circular portion 11 of the closure device C is pushed out of the sheath 3.

[0031] Then, the catheter assembly A is pulled back until the first circular portion 11 is engaged with the wall surrounding the patent ductus arteriosus D (Fig. 6). Subsequently, the operating member 4 is further pushed into the sheath 3 so that almost all the parts of the closure device C except for the second circular portion 12 thereof is pushed out of the sheath 3 (Fig. 7). Thereafter, the catheter assembly A is further pulled back to the pulmonary artery side, and subsequently the operating member 4 is further pushed into the sheath 3 to push the second circular portion 12 to the end of the patent ductus arteriosus D or into the pulmonary artery. Thus, the closure device C is more firmly fixed to the patent ductus arteriosus D (Fig. 7).

[0032] Lastly, the operating member 4 is further pushed into the sheath 3 (if necessary, with pulling back the sheath 3) to push the holding means 42 out of the sheath 3. Then, the holding means 42 returns to its original uninflected shape (i.e., the shape extending in the axial direction of the operating member 4), thereby releasing the second circular portion 12 of the closure device C from the holding means 42. At that time, the closure device C has recovered its original shape under the influence of the body temperature of the patient. Thus, the second circular portion 12 released from the holding means 42 fits on the opposite wall of the patent ductus arteriosus D so that the patent ductus arteriosus D is closed by the closure device C, thereby completing the operation. The closure device C is fixed to the tissue surrounding the patent ductus arteriosus D in the condition as illustrated in Fig. 8.

[0033] As will be understood from the above description, the closure device for transcatheter operations of the present invention makes it possible to easily and certainly close the patent ductus arteriosus. In addition, it is possible with the closure device of the present invention adoption to solve the problems such as vessel injuries or residual shunts caused by the conventional closure devices. Further, the closure device can be retrieved with ease even in the case of dislocation or dislodgment of the closure device.

## Claims

1. A closure device for transcatheter operations, comprising:  
a longitudinally elasticated fixing member (1) having a shape-restoring force, the fixing member being provided at both ends thereof with a relatively large-sized circular portion (11,12), at least one of said circular portions being fixed in a ring (11) and connected to the opposite circular portion (12) by means of a connecting portion (14), said connecting portion being extended from the fixed circular portion and progressively decreased in size toward the opposite

circular portion; and  
a closure membrane (2) attached to said fixed circular portion for closing said ring (11).

2. The closure device for transcatheter operations according to claim 1, wherein the opposite circular portion (12) has a free end and is provided with a holding portion (13) on the free end thereof. 5

3. The closure device for transcatheter operations according to claim 1, wherein the opposite circular portion (12) is fixed in a ring. 10

4. The closure device for transcatheter operations according to claim 3, wherein the ring of the circular portion is closed up by a closure membrane (2). 15

5. The closure device for transcatheter operations according to any of claims 1 to 4, wherein the closure membrane (2) is made of a fabric or non-woven fabric of a biocompatible material. 20

6. The closure device for transcatheter operations according to any of claims 1 to 5, wherein the fixing member (1) is made of a wire of a superelastic metal and formed into a coil or zigzag. 25

7. The closure device for transcatheter operations according to any of claims 1 to 5, wherein the fixing member (1) is made of a wire of a shape-memory alloy with a transformation temperature ranging from 30 to 36 °C and formed into a coil or zigzag. 30

nen nach Anspruch 1, wobei der gegenüberliegende kreisförmige Abschnitt (12) ein freies Ende hat und mit einem Halteabschnitt (13) an seinem freien Ende versehen ist.

3. Verschlußvorrichtung für Transkatheteroperationen nach Anspruch 1, wobei der gegenüberliegende kreisförmige Abschnitt (12) in einem Ring befestigt ist.

4. Verschlußvorrichtung für Transkatheteroperationen nach Anspruch 3, wobei der Ring des kreisförmigen Abschnitts durch eine Verschlußmembran (2) verschlossen wird.

5. Verschlußvorrichtung für Transkatheteroperationen nach einem der Ansprüche 1 bis 4, wobei die Verschlußmembran (2) aus einem Stoff oder Verbundstoff aus einem biologisch abbaubaren Material besteht.

6. Verschlußvorrichtung für Transkatheteroperationen nach einem der Ansprüche 1 bis 5, wobei das Befestigungsteil (1) aus einem Draht aus einem superelastischen Metall besteht und zu einer Spirale oder Zickzackform geformt ist.

7. Verschlußvorrichtung für Transkatheteroperationen nach einem der Ansprüche 1 bis 5, wobei das Befestigungsteil (1) aus einem Draht aus einer Memoryeffekt-Legierung mit einer Umwandlungstemperatur, die von 30 bis 36 °C reicht, besteht und zu einer Spirale oder Zickzackform geformt ist.

**Patentansprüche**

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1. Verschlußvorrichtung für Transkatheteroperationen mit:

einem in Längsrichtung elastischen Befestigungsteil (1) mit einer die Form wiederherstellenden Kraft, wobei das Befestigungsteil an seinen beiden Enden mit einem relativ groß bemessenen kreisförmigen Abschnitt (11, 12) versehen ist, wobei zumindest einer der kreisförmigen Abschnitte in einem Ring (11) befestigt ist und mit dem gegenüberliegenden kreisförmigen Abschnitt (12) mittels eines Verbindungsabschnitts (14) verbunden ist, wobei sich der Verbindungsabschnitt vom festen kreisförmigen Abschnitt erstreckt und seine Größe zum gegenüberliegenden kreisförmigen Abschnitt hin progressiv kleiner wird; und einer Verschlußmembran (2), die am befestigten kreisförmigen Abschnitt zum Verschließen des Rings (11) angebracht ist.

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**Revendications**

1. Dispositif de fermeture pour des opérations utilisant un transcathéter, comprenant :

un élément de fixation (1) élastiqué longitudinalement et possédant une force de rétablissement de forme, l'élément de fixation étant équipé, au niveau de ses deux extrémités, d'une partie circulaire (11, 12) ayant une taille relativement grande, au moins l'une desdites parties circulaires étant fixée dans un anneau (11) et raccordée à la partie circulaire opposée (12) au moyen d'une partie de liaison (14), ladite partie de liaison s'étendant depuis la partie circulaire fixe et ayant une taille qui diminue progressivement en direction de la partie circulaire opposée; et une membrane de fermeture (2) fixée à ladite partie circulaire fixe pour fermer ledit anneau (11).

2. Dispositif de fermeture pour des opérations d'un

transcathéter selon la revendication 1, dans lequel la partie circulaire opposée (12) possède une extrémité libre et est équipée d'une partie de retenue (13) sur son extrémité libre.

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3. Dispositif de fermeture pour des opérations d'un transcathéter selon la revendication 1, dans lequel la partie circulaire opposée (12) est fixée dans un anneau.

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4. Dispositif de fermeture pour des opérations d'un transcathéter selon la revendication 3, dans lequel l'anneau de la partie circulaire est fermé par une membrane de fermeture (2).

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5. Dispositif de fermeture pour des opérations d'un transcathéter selon l'une quelconque des revendications 1 à 4, dans lequel la membrane de fermeture (2) est formée d'une étoffe ou d'une étoffe non tissée formée d'un matériau biocompatible.

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6. Dispositif de fermeture pour des opérations d'un transcathéter selon l'une quelconque des revendications 1 à 5, dans lequel l'élément de fixation (1) est formé d'un fil constitué d'un métal superélastique et disposé sous la forme d'une bobine ou en zig-zag.

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7. Dispositif de fermeture pour des opérations d'un transcathéter selon l'une quelconque des revendications 1 à 5, dans lequel l'élément de fixation (1) est formé d'un fil constitué d'un alliage à mémoire de forme comportant une température de transformation s'étageant entre 30 et 36°C et formé sous la forme d'une bobine ou en zig-zag.

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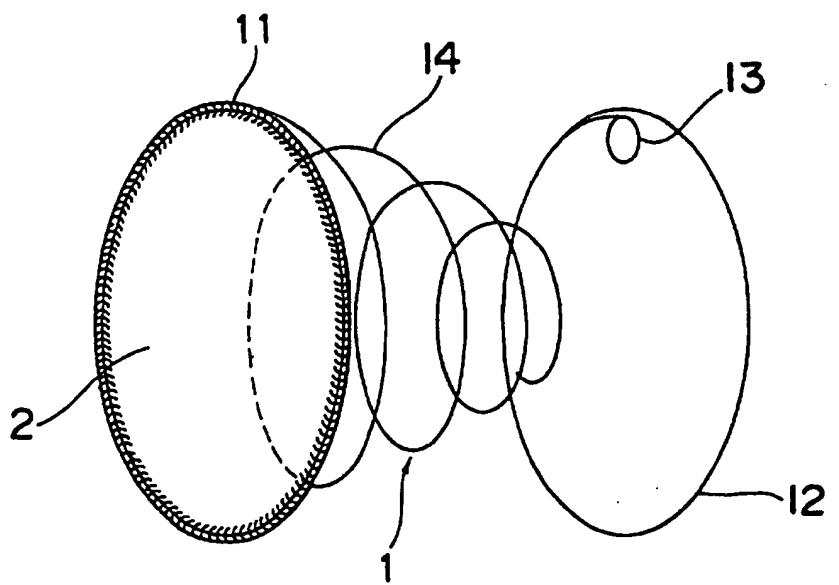
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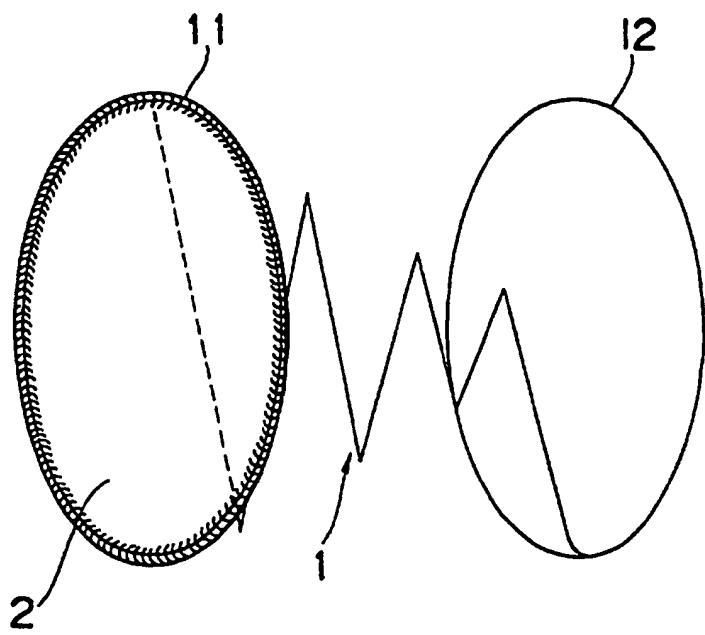
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*Fig. 7*



*Fig. 2*



*Fig. 3*

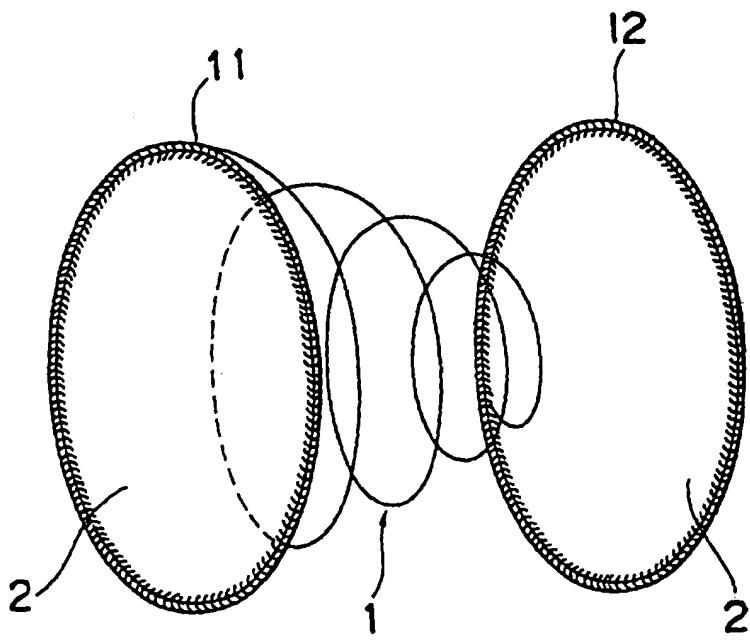


Fig. 4

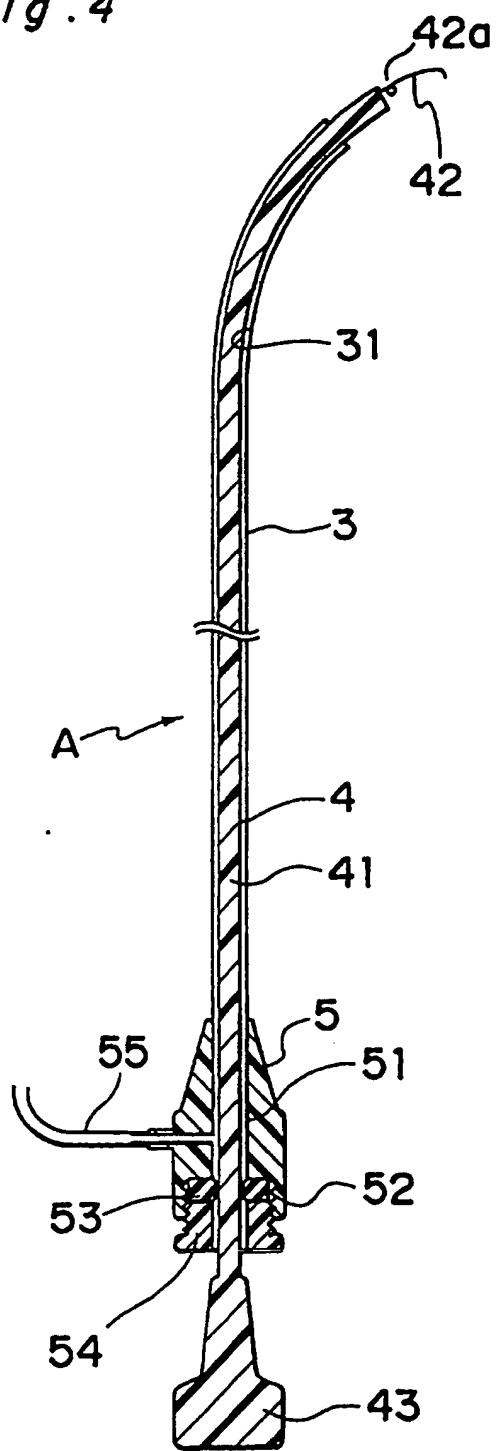
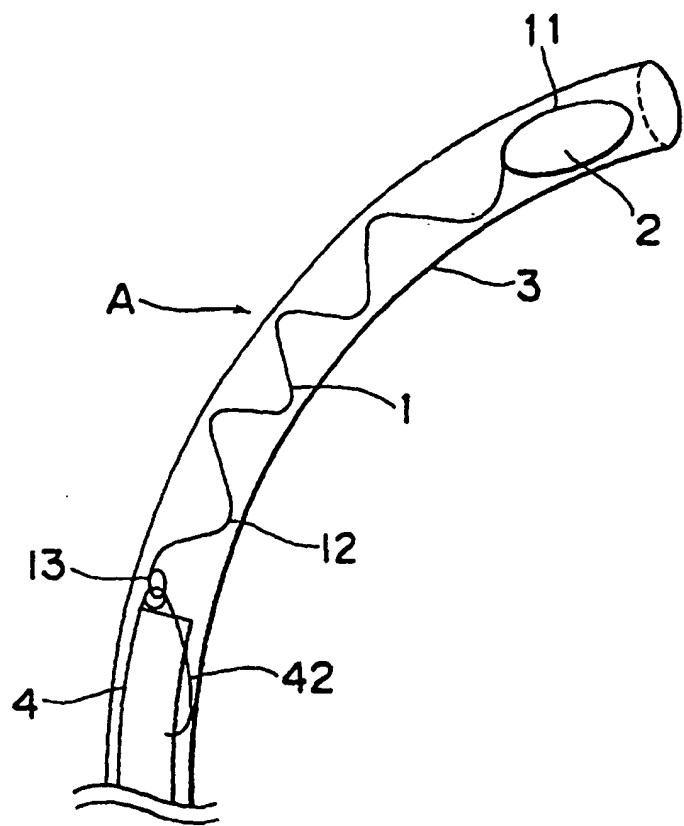
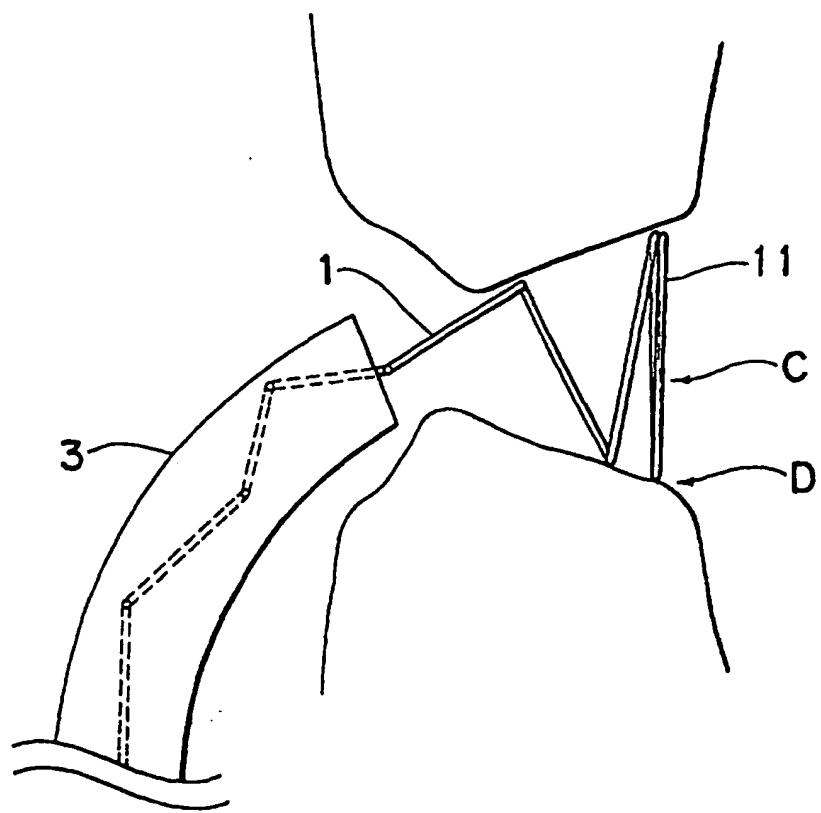


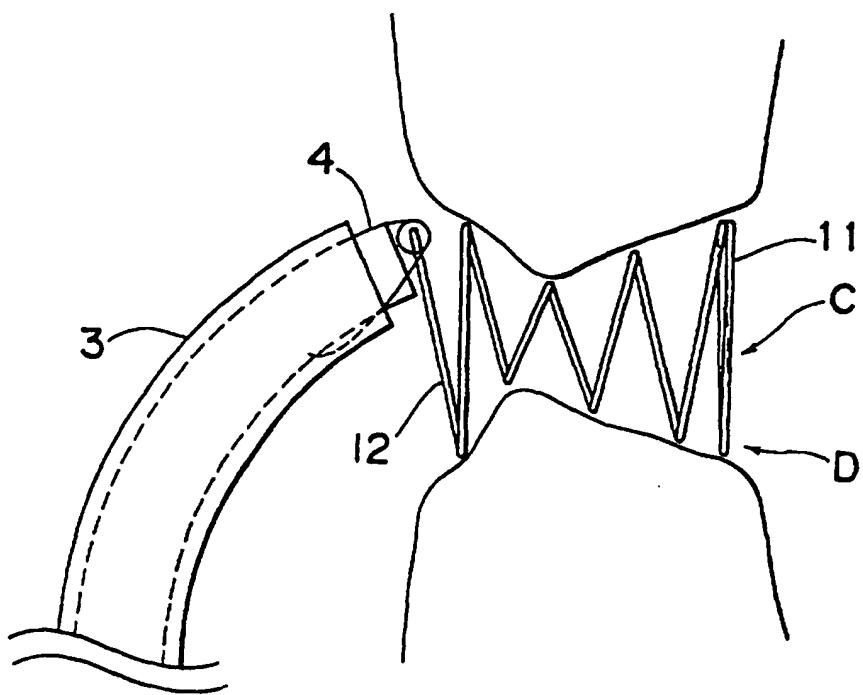
Fig. 5



*Fig. 6*



*Fig. 7*



*Fig. 8*

